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For: POLYCYCLIC α -AMINO- ϵ -
CAPROLACTAMS AND RELATED
COMPOUNDS

Examiner: B. Kifle

By: Gerald F. Swiss
Gerald F. Swiss
Registration No. 30,113

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9/13/02

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Patent

Attorney's Docket No. 002010-685

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)

James E. Audia, et al.)

Application No.: 09/882,777 ✓)

Filed: June 14, 2001 ✓)

For: POLYCYCLIC α -Amino- ϵ -
CAPROLACTAMS AND RELATED
COMPOUNDS ✓)

Group Art Unit: 1624

Examiner: B. Kifle

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed.

U.S. Patents

2,938,029	Brenner et al.	5/24/60
3,598,859	Yates et al.	8/10/71
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Information Disclosure Statement
Application No. 09/882,777
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Japanese patent application 179757 and published International Application No. WO 98/04539 have been cited merely for completeness of the record because both were cited by the Japanese examiner in the examination of the Japanese counterpart of the present application. Neither reference has an early enough effective reference date to be available as a reference against the present application. European patent application No. 810221A is the English language counter part of International Application No. WO 96/25408, already of record herein. Due to problems encountered in downloading EP 810221A, the copy provided herein is missing pages 327-336.

The chemistry text, "The Synthesis of Lactose and Lactams" has been cited in an exercise of caution because it is referenced in the application as a synthesis resource. Because the text is over 1,000 pages, Applicants have merely enclosed the table of contents for the book.

A fee in the amount of \$180.00 (126) is enclosed in conformance with 37 C.F.R. § 1.97(c).

To assist the Examiner, the documents are listed on the attached form PTO-1449.
It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: 9-4-02

By: Gerald F. Swiss ✓
Gerald F. Swiss
Registration No. 30,113

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650) 622-2300